

Randomized Algo.

def A dictionary is a data structure

over $U = \{0, \dots, N-1\}$ for storing set

$S \subseteq U$ of keys with associated value.

Supports {
insert (x, y): add x to S with value y
lookup (z): decide if $z \in S$, if so, return value.

The complexity measured wrt $n = |S|$.

↓
with respect to

The space complexity is the # of integers used to store S .

Q :

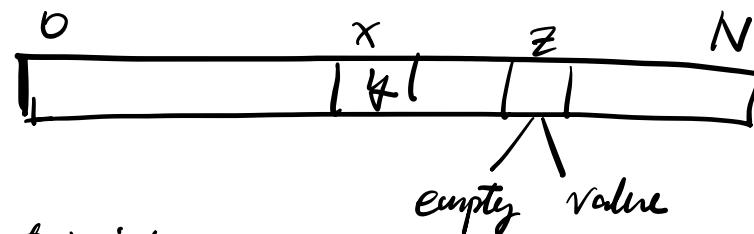
What type of integers are we dealing with ?

Convention : all integers are in $O(\log n)$.



$$N \leq \text{poly}(n)$$

Example array



insert (x, y) \rightarrow trivial

lookup (z) \rightarrow return ⟨ empty
value ⟩

parameters : space : $N = |U| \Rightarrow$ size of universe ↴

insert : $O(1)$

often $|S| \ll |U|$

lookup : $O(1)$

actual netid all possible netid

Example linked list

insert(x, y) $O(1)$

lookup(z) $O(|S|) \Rightarrow$ bad.

space $O(|S|) = O(n)$

Q better?

want: space $O(n)$

insert $O(1)$

lookup $O(1)$

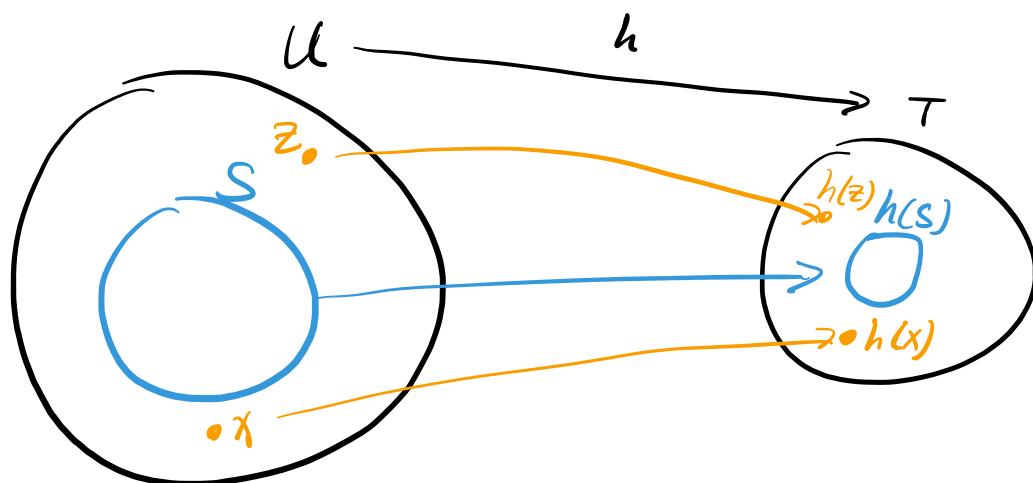
Yes, randomization.

but expected run time.

Idea: hashing

function $h : U \rightarrow T$

with $|T| \approx |S|$



array is affordable.

Problem: collision

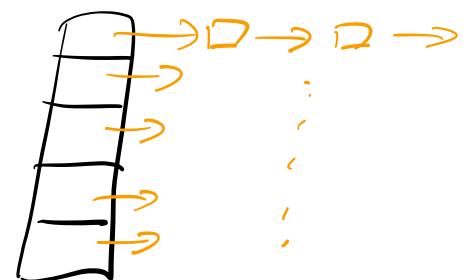
$h^{-1}(k)$ {
pre-image can be huge

def Hash table w/ chain is :

- hash function h
- Array of size m of linked list.

Insert (x, y) insert (x, y) to $L[h(x)]$

lookup $(z) =$ lookup z in $L[h(z)]$



prop insert (x, y) takes

- 1 eval of h
- $O(1)$ ops

def: The load of hash func h on a set S at key k is $|L[k]|$

prop lookup (z) takes

- 1 eval of h
- $O(|L[h(z)]|)$

prop: space complexity is $O(|T|) + O(|S|)$

Idea: randomly choose h

prop $S \subseteq U$ $h: U \rightarrow T$ random function. Any $z \in U$

$$\begin{aligned}\mathbb{E}[|\mathcal{L}[h(z)]|] &\leq 1 + \frac{|S|}{|T|} \\ &= \Theta(1) \text{ if } |T| = \Theta(|S|)\end{aligned}$$

Pf:

$$\begin{aligned}|\mathcal{L}[h(z)]| &= \sum_{x \in S} \mathbb{1}[h(x) = h(z)] \\ &= \mathbb{1}[z \in S] + \sum_{\substack{x \in S \\ x \neq z}} \mathbb{1}[h(x) = h(z)] \\ \mathbb{E}[|\mathcal{L}[h(z)]|] &= \mathbb{E}[\mathbb{1}[z \in S]] + \sum_{\substack{x \in S \\ x \neq z}} \mathbb{E}[\mathbb{1}[h(x) = h(z)]] \\ &= \mathbb{1}[z \in S] + \underbrace{\sum_{|S|} \Pr(h(x) = h(z))}_{\frac{1}{|T|}} \\ &\leq 1 + \frac{|S|}{|T|}\end{aligned}$$

Q Does this work?

A: No. Storing $h: U \rightarrow T$ is expensive. Takes $|U|$ to store everything used.

Idea: choose h pseudo random.

- enough randomness so that $\mathbb{E}[\text{load}]$ small
- not too much randomness to avoid

def universal hash function is a collection of hash functions

$$\mathcal{H} = \{h: U \rightarrow T\} \text{ s.t. } x \neq y \in U, \Pr[h(x) = h(y)] = \frac{1}{|T|}$$

prop Let p be a prime

$$H: \mathbb{Z}_p^k \times \mathbb{Z}_p^k \rightarrow \mathbb{Z}_p$$

given by $H(x, b) = \sum_{i=1}^k x_i b_i$

claim: this is
universal hash function

hash family: $\mathcal{H} = \left\{ h: \mathbb{Z}_p^k \rightarrow \mathbb{Z}_p \mid h(x) = H(x, b), b \in \mathbb{Z}_p^k \right\}$

Each $h \in \mathcal{H}$ = can be stored in $O(k)$
= can be evaluated in $O(k)$

Pf: h is given by $b \in \mathbb{Z}_p^k \Rightarrow k$ integers $\Rightarrow O(k)$

$h(x) = \sum_{i=1}^k x_i b_i$ is simply k multiplications and addition $\Rightarrow O(k)$

Universality:

Lemma: $\mu_x: \mathbb{Z}_p \rightarrow \mathbb{Z}_p$ multiplication by x map.
is injective when $x \neq 0$.

Pf

$$\begin{aligned}\mu_x(y) = \mu_x(z) &\iff xy = xz \\ &\iff x(y-z) = 0 \\ &\iff p \mid x(y-z) \\ &\iff p \mid y-z \quad \text{as } p \nmid x \\ &\iff y = z\end{aligned}$$

Lemma: u_x is a bijection

Pf: $|\mathbb{Z}_d| = |\mathbb{Z}_p|$

Lemma:

$x \neq 0$, y is uniform over \mathbb{Z}_p

$\Rightarrow x \cdot y$ is uniform over \mathbb{Z}_p

Pf: $\Pr[x \cdot y = z] = \Pr[y = \underbrace{u_x^{-1}(z)}_{\text{fixed}}]$ $\Rightarrow \frac{1}{p}$

Lemma: X over \mathbb{Z}_p , Y uniform over $\mathbb{Z}_p \Rightarrow X+Y$ uniform.
independent random variable

Pf: $\Pr[X+Y = z]$

$$= \sum_x \Pr[\underbrace{x+y=z}_{Y=z-x} \mid X=x] \cdot \Pr[X=x]$$

$$\Pr[Y=z-x] = \frac{1}{p} \quad \text{because } X, Y \text{ independent.}$$

$$= \frac{1}{p} \cdot \sum_x \Pr[X=x] = \frac{1}{p}$$

Now, back to universality of hash function

lemma: $x, y \in \mathbb{Z}_p^k$, $\Pr_{b \in \mathbb{Z}_p^k} [H(x, b) = H(y, b)] = \frac{1}{p}$

Pf: $\exists i_0$ s.t. $x_{i_0} \neq y_{i_0}$

$$\begin{aligned} & \Pr [H(x, b) = H(y, b)] \\ &= \Pr [\sum x_i b_i = \sum y_i b_i] \\ &= \Pr [\sum_{i=1}^k b_i (x_i - y_i) = 0] \\ &= \Pr [\underbrace{b_{i_0}}_{A} \underbrace{(x_{i_0} - y_{i_0})}_{\text{non zero}} + \underbrace{\sum_{i \neq i_0} b_i (x_i - y_i)}_{B} = 0] \\ &\quad \text{independent random variables} \end{aligned}$$

A is uniform \Rightarrow by lemma, $A \circ (X_{i_0} - Y_{j_0})$ uniform

B, A independent \Rightarrow $\underbrace{A+B}_Z$ uniform.

$$\Pr[Z=0] = \frac{1}{P}$$

uniform over \mathbb{Z}_p



Fact for any n , in $O(n)$ deterministic time

we can construct prime p , $n \leq p \leq n$

Theorem

$$S \subseteq U, |S|=n, |U|=N \leq \text{poly}(n)$$

one can in $O(n)$ deterministic time construct hash function family

$$H: U \rightarrow T \text{ where}$$

- $|T| = \leq O(n)$
- choose $h \in H$ takes $O(1)$ space to store
- evaluation takes $O(1)$ time
- $\forall z \in U, E[|L[h(z)]|] \leq O(1)$

Pf.

choose $p \in [n, 2n]$, pick k s.t. $p^k \geq |U| \Rightarrow k \leq O(1)$